

## **APPENDIX D**

(VERSION OF CLAIMS AS AMENDED HEREIN WITH MARKINGS TO SHOW CHANGES MADE)

(Serial No. Not yet assigned)



- 1. (Amended) A method for producing [non-warped]nonwarped semiconductor die from a wafer having a [frontside]front side, a [backside]back side, and a [frontside]front side layer on a portion of said [semiconductor] wafer causing a stress, said method comprising: reducing a cross-section of said semiconductor die by thinning [the]said semiconductor die; applying a stress-balancing layer to said; and singulating said wafer into a plurality of semiconductor die.
- 2. (Amended) A method in accordance with claim 1, wherein said [frontside] front side layer comprises a layer applied in fabrication of said semiconductor die.
- 3. (Amended) A method in accordance with claim 1, wherein said [frontside] front side layer comprises a layer of passivation material.
- 7. (Amended) A method in accordance with claim 1, wherein said stress-balancing layer comprises a layer substantially covering said [backside]back side.
- 9. (Amended) A method in accordance with claim 1, wherein said stress-balancing layer comprises a plurality of portions, each said portion covering a selected portion of [the]said thinned semiconductor die on said wafer.
- 23. (Amended) A method in accordance with claim 1, wherein said <u>semiconductor</u> die comprises one of a DIP, SIP, ZIP, PLCC, SOJ, SIMM, DIMM, LOC, QFP, SOP, TSOP, and a flip-chip.
- 27. (Amended) A method in accordance with claim [23]25, further comprising exposing a portion of said <u>material</u> markable[ material] with optical energy exposing at least a portion of

said <u>material</u> markable[ material] to one of a Nd:YAG (yttrium aluminum garnet), Nd:YLP (pulsed yttrium fiber laser) or carbon dioxide laser.

- 28. (Amended) A method in accordance with claim 1, further comprising: applying a tape over said stress-balancing layer, said tape comprising a UV-penetrable polyvinyl chloride tape having an acrylic UV-sensitive adhesive disposed thereon; and <a href="Extraction">Extraction</a> a portion of said tape with optical energy exposing at least a portion of said tape to one of a Nd:YAG, Nd-YLP[,] or carbon dioxide laser.
- 29. (Amended) A method in accordance with claim 1, wherein said stress-balancing layer comprises a first [sub-layer]sublayer having high rigidity in the X-direction[,] and a second sub-layer having high rigidity in the Y-direction.
- 30. (Amended) A method in accordance with claim 1, wherein said stress-balancing layer comprises a layer having a coefficient of thermal expansion substantially similar to [the]a coefficient of thermal expansion of said [frontside]front side layer.
- 31. (Amended) A method in accordance with claim 1, further comprising applying a dieattach adhesive to at least a portion of [the] surface of said stress-balancing layer.
- 32. (Amended) A method in accordance with claim 1, further comprising applying a temporary reinforcement layer over at least a portion of said [frontside] front side layer prior to thinning said [backside] back side.
- 33. (Amended) A method for producing a small Z-dimension [non-warped]nonwarped semiconductor die from a semiconductor wafer having a [frontside]front side, a [backside]back side, and a stress applied thereto by a [frontside]front side layer, said method comprising: reducing a cross-section of said semiconductor die by thinning [the]said [backside]back side thereof;

applying a rigid stress-balancing layer to a portion of said thinned [backside]back side; and singulating said wafer into a plurality of [non-warped]nonwarped semiconductor dice.

- 34. (Amended) A method in accordance with claim 33, wherein said [frontside]<u>front side</u> layer comprises a layer applied in a microcircuit fabrication step.
- 35. (Amended) A method in accordance with claim 33, wherein said [frontside] <u>front side</u> layer comprises a layer of passivation material.
- 39. (Amended) A method in accordance with claim 33, wherein said stress-balancing layer comprises a layer substantially covering said thinned [backside]back side.
- 41. (Amended) A method in accordance with claim 33, wherein said stress-balancing layer comprises a plurality of discrete portions, each said portion covering a selected portion of the thinned [backside]back side of a die on said wafer.
- 42. (Amended) A method in accordance with claim 41, wherein said selected portion comprises a majority of said thinned die [backside]back side.
- 44. (Amended) A method in accordance with claim 33, wherein said stress-balancing layer comprises a layer applied to said thinned [backside]back side by one of a chemical vapor deposition (CVD) process, an evaporation process, and an epitaxy process.
- 45. (Amended) A method in accordance with claim 33, wherein said stress-balancing layer comprises a layer applied to said thinned [backside]back side by one of LPCVD, APCVD, MOCVD, PECVD, and UHVCVD.

- 46. (Amended) A method in accordance with claim 33, wherein said stress-balancing layer comprises a layer applied to said thinned [backside]back side by one of VPE, MBE, and CMOSE.
- 59. (Amended) A method in accordance with claim 56, further comprising exposing a portion of said <u>material</u> markable[ material] with optical energy exposing at least a portion of said <u>material</u> markable[ material] to one of a Nd:YAG (yttrium aluminum garnet), Nd:YLP (pulsed yttrium fiber laser) or carbon dioxide laser.
- 60. (Amended) A method in accordance with claim [1]33, further comprising applying a tape over said stress-balancing layer, said tape comprising a UV-penetrable polyvinyl chloride tape having an acrylic UV-sensitive adhesive disposed thereon, and exposing a portion of said tape with optical energy exposing at least a portion of said tape to one of a Nd:YAG, Nd-YLP, or carbon dioxide laser.
- 61. (Amended) A method in accordance with claim 33, wherein said stress-balancing layer comprises a first [sub-layer]sublayer having high rigidity in the X-direction, and a second [sub-layer]sublayer having high rigidity in the Y-direction.
- 62. (Amended) A method in accordance with claim 33, wherein said stress-balancing layer comprises a layer having a coefficient of thermal expansion substantially similar to that of said [frontside] front side layer.
- 63. (Amended) A method in accordance with claim 33, further comprising applying a die-attach adhesive to at least a portion of [the]an outer surface of said stress-balancing layer.
- 64. (Amended) A method in accordance with claim 33, further comprising applying a temporary reinforcement layer over said [frontside] front side layer prior to thinning said [backside] backside.

- 65. (Amended) A method for producing low Z-dimension [non-warped]nonwarped semiconductor dice having a <u>die</u> [frontside]<u>front side</u>, a <u>die</u> [backside]<u>back side</u>, and a stress applied thereto by a <u>die</u> [frontside]<u>front side</u> layer, said method comprising:
- forming a semiconductor wafer having a [frontside]<u>front side</u>, a [backside]<u>back side</u>, a plurality of microcircuits on said [frontside]<u>front side</u>, and a [frontside]<u>front side</u> layer applying stress to said wafer;
- reducing a cross-section of said semiconductor wafer by thinning [the]said [backside]back side thereof;

singulating said wafer into a plurality of semiconductor dice; and applying a rigid stress-balancing layer to said thinned [backside]back side under conditions which apply a [backside]back side stress generally equivalent to said [front-side]front side stress upon restoration to conditions of said semiconductor die use.

- 66. (Amended) A method in accordance with claim 65, wherein said [frontside] front side layer comprises a layer of passivation material.
- 67. (Amended) A method in accordance with claim 65, wherein said stress-balancing layer comprises a layer applied to said [backside]back side by one of a chemical vapor deposition (CVD)

process, an evaporation process, and an epitaxy process.

- 68. (Amended) A method in accordance with claim 65, wherein said stress-balancing layer comprises a layer applied to said [backside]back side by one of LPCVD, APCVD, MOCVD, PECVD, and UHVCVD.
- 69. (Amended) A method in accordance with claim 65, wherein said stress-balancing layer comprises a layer applied to said [backside]back side by one of VPE, MBE, and CMOSE.
  - 75. (Amended) A semiconductor die, comprising:

a semiconductor substrate having a [frontside]<u>front side</u> and a [backside]<u>back side</u>; an integrated circuit on a portion of said [frontside]<u>front side</u>; a passivation layer covering a portion of said integrated circuit; and a stress-balancing layer covering at least a portion of said [backside]<u>back side</u>.

- 80. (Amended) A [non-warp]nonwarp semiconductor die in accordance with claim 79, wherein said adhesive layer comprises a layer of material for laser-marking.
- 81. (Amended) A [non-warp]nonwarp semiconductor die, comprising: a semiconductor substrate having a [frontside]front side, a [backside]back side, and a low ratio of height to

a horizontal dimension;
an integrated circuit on said [frontside] front side;

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- a passivation layer covering a portion of said integrated circuit exerting a stress on said substrate [frontside] front side;
- a stress-balancing layer covering at least a portion of said [backside]back side, said stress-balancing layer for balancing a portion of said [frontside]front side stress with a generally equivalent [backside]back side stress.
- 82. (Amended) A [non-warp]nonwarp semiconductor die in accordance with claim 81, wherein said stress-balancing layer comprises one of a single component layer, a substantially homogeneous mixture of a strong material in a matrix material, a heterogeneous composite of particles of a strong material in a matrix material, and a tape with rigidity in the X-Y plane.
- 83. (Amended) A [non-warp]nonwarp semiconductor die in accordance with claim 81, wherein said stress-balancing layer comprises an adhesive material.
- 84. (Amended) A [non-warp]nonwarp semiconductor die in accordance with claim 83, wherein said stress-balancing layer comprises a layer of material for laser-marking.

- 85. (Amended) A [non-warp]nonwarp semiconductor die in accordance with claim 81, further comprising an adhesive layer attached to said stress-balancing layer.
- 86. (Amended) A [non-warp]nonwarp semiconductor die in accordance with claim 85, wherein said adhesive layer for laser-marking.